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PATENTS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Timothy M. Keiser et al.
Application No. : 10/655,409 Confirmation No. : 6575
Filed : September 4, 2003
For : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH A VIRTUAL SPECIALIST
FUNCTION
Group Art Unit : 3628
Examiner : Clement B. Graham

New York, New York 10020
June 15, 2006

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☒ a Reply to Office Action; ☒ Substitute Specification (in
Appendices A and B); ☐ a Power of Attorney; ☐ an Associate
Power of Attorney; ☐ formal drawings; to be filed in the
above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required.

06/19/2006 CCHAU1 00000089 061075 10655409

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The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	67 - 77	* = 0	X \$ 50 =	\$ 0.00
INDEPENDENT CLAIMS	3 - 3	** = 0	X \$ 200 =	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$ 360 =	\$ 0.00

* If less than 20, insert 20.

** If less than 3, insert 3.

TOTAL \$ 0.00

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075, Order No. 000741-0096. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[X] The following extension is applicable to the Response filed herewith; [X] \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a);

☐ \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$2,160.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

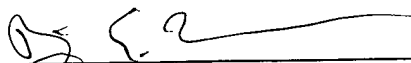
☒ Pursuant to 37 C.F.R. § 1.136(a), applicants hereby petition for a ☒ one-month extension of time; ☐ two-month extension of time; ☐ three-month extension of time; ☐ four-month extension of time; ☐ five-month extension of time to respond to the Office Action dated February 15, 2006. With the extension, the time for replying is extended up to and including June 15, 2006.

☐ A check in the amount of ☐ \$120.00; ☐ \$450.00; ☐ \$1,020.00; ☐ \$1,590.00; ☐ \$2,160.00 in payment of the extension fee is transmitted herewith.

☒ Please charge the ☒ \$120.00; ☐ \$450.00; ☐ \$1,020.00; ☐ \$1,590.00; ☐ \$2,160.00 extension fee to Deposit Account No. 06-1075, Order No. 000741-0096. A duplicate copy of this transmittal letter is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 000741-0096. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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